

08/15/01  
J1042 U.S. PTO

Patent  
Attorney Docket: 266/263

JC986 U.S. PTO  
09/15/01  
08/15/01

To: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** O'Connor, Stephen D. et al.

**Title:** OPTICAL DEVICES WITH FLUIDIC SYSTEMS

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

29 Page(s) of Written Description  
7 Page(s) Claims  
1 Page(s) Abstract  
21 Sheets of Drawings ☐ Informal ☒ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

☐ Declaration  
☐ Power of Attorney ☐ Separate ☐ Combined with Declaration  
☐ Assignment to \_\_\_\_\_ and assignment cover sheet  
☐ Certified Copy of Priority Document No(s): \_\_\_\_\_  
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations  
☐ Preliminary Amendment  
Request and Certification under 35 U.S.C.  
§ 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
☒ Return Postcard  
☐ Other: \_\_\_\_\_

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360341305US

Express Mail Label No.

August 15, 2001

Date of Deposit  
Paper

Brian Weber

Name of Person Mailing Paper

Brian Weber  
Signature of Person Mailing

III. PRIORITY

- ☒ Priority of application Serial No. 60/226,174 filed on August 15, 2000 in United States Patent & Trademark Office is claimed under 35 U.S.C. § 119(e).

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:						\$710.00
Total Claims	41	-	20	=	21 x	\$18.00 0
Independent Claims	7	-	3	=	4 x	\$80.00 0
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$1,408.00
Reduction by 1/2 for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$704.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
TOTAL FEES DUE HERewith						\$704.00

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of \_\_\_\_.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \_\_\_\_.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☐ 37 CFR § 1.16 - (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 - (Any application processing fees)
- ☐ 37 CFR § 1.21 - (Assignment recording fees)

## VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600

Please direct all inquiries to Michael J. Wise, at the above customer number.

Respectfully submitted,

LYON & ~~LYON~~ LLP

Dated: August 15, 2001

By:

Yasser M. El-Gamal  
Reg. No. 45,339